

INTERIM AML Client & Matter Risk Assessment

Client reference	{ MERGEFIELD client_no }
Matter reference	{ MERGEFIELD matter_no }

Client details	
Client name	{ IF {MERGEFIELD LINKNAME_SURNAME_1 } = "{ MERGEFIELD LINKNAME_SURNAME_2 }" "{ MERGEFIELD LINKNAME_TITLE_1 } { MERGEFIELD LINKNAME_INITIALS_1 } & { MERGEFIELD LINKNAME_TITLE_2 } { MERGEFIELD LINKNAME_INITIALS_2 } { MERGEFIELD LINKNAME_SURNAME_1 }" "{ IF { MERGEFIELD LINKNAME_SURNAME_2 } = "" "{ MERGEFIELD LINKNAME_TITLE_1 } { MERGEFIELD LINKNAME_INITIALS_1 } { MERGEFIELD LINKNAME_SURNAME_1 }" "{ MERGEFIELD LINKNAME_TITLE_1 } { MERGEFIELD LINKNAME_INITIALS_1 } { MERGEFIELD LINKNAME_SURNAME_1 } & { MERGEFIELD LINKNAME_TITLE_2 } { MERGEFIELD LINKNAME_INITIALS_2 } { MERGEFIELD LINKNAME_SURNAME_2 }" }
Client address	{ MERGEFIELD CALCULATION_ADDRESS }

5. Interim Risk Assessment

In addition to those factors and question listed under the initial assessment section above. Have any of these factors or anything else changed or happened which may change the risk profile of the client or the matter?

Has your due diligence changed your assessment of risk level?	{ MERGEFIELD INTFINRISK_DDCHANGE }
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If **yes**, explain how you have addressed any concerns.

{ MERGEFIELD INTFINRISK_ADDRESSCON }

Risk level	{ MERGEFIELD INTFINRISK_MATTERRISK2 }
Signed	Dated